

# DOT Compliance Basics Checklist

**Commercial Motor Vehicle (CMV) Operators** participating in INTERstate commerce must comply with the Federal Motor Carrier Safety Regulations (FMCSRs). Operators of CMVs participating in INTRAsate commerce must comply with Drug and Alcohol, Commercial Driver's License (CDL), and possibly other regulations since it's likely that their state has adopted a portion or all of the FMCSRs! The following questions will help you determine whether a motor carrier's vehicles meet one of the definitions of "CMV" and which FMCSRs need to be implemented.

- Do any of your vehicles have a gross vehicle weight rating or gross combination weight rating of 10,001 or more pounds (A number of states raised the weight limit for intrastate vehicles)?
- Are any of your vehicles required to be placarded for hazardous materials?
- Are any of your vehicles designed to transport more than 8 (for-hire) or 15 (not-for-hire) passengers?

If the answer is **YES** to any of these questions, the motor carrier is required to do the following:

1. *Comply with the current FMCSR 390.3 (e)(1)* \*\*We suggest (383) Trucking Safety Guide and (15784) CSA for CMV Fleets Manual.
2. *Maintain driver qualification records for each driver 391.51* \*\*We suggest (3879) or (848), Driver Qualification Files. Not sure how to complete this? Then we suggest (7698) Driver File Instruction Booklet
3. *Complete pre-trip 396.13 and document post-trip daily vehicle inspections 396.11* \*\*We suggest (1854) Detailed Driver Vehicle Inspection Reports or (2048) Simplified Driver Vehicle Reports
4. *Maintain Safety Performance History information on your CMV Drivers 391.53*\*\*We suggest (9725) Confidential Driver Investigation History File Packet.
5. *Establish a routine, ongoing vehicle maintenance program 396.3.* \*\*We suggest (1758) Vehicle Maintenance Packets and (13598) Vehicle Maintenance Pro Manual.
6. *Document each driver's hours of service 395.8* Note: Drivers who meet a short-haul exemption in 395.1(e) may not need to complete a log grid, but still must track their **hours of service!** \*\* We suggest (8529) Driver Exempt Forms and/or (8525) Log Book
7. *Maintain required information for each accident in an accident register 390.15.* \*\*We suggest (36051) Accident Report Kit w/ camera and (488) Accident Register
8. *Mark the vehicle with name and DOT# 390.21*\*\*We suggest (2354 and 770) "US DOT" Marking
9. *Ensure driver compliance with current FMCSRs 390.3 (e)(2)* \*\*We suggest the (347) FMCSR Pocketbook with driver's receipt page
10. *Document periodic vehicle inspections performed at least annually 396.17* \*\*We suggest (2240) Annual Vehicle Inspections and (1279) Labels for trucks
11. *Comply with 393, Subpart I Protection Against Shifting and Falling Cargo.* \*\*We suggest (10220) Cargo Securement Handbook for Drivers and (11700) Cargo Securement Sliding Calculator.

- Do any of your vehicles have a gross vehicle rating or gross combination weight rating of 26,001 or more pounds?
- Are any of your vehicles required to be placarded for hazardous materials?"
- Are any of your vehicles designed to transport more than 15 passengers?

If the answer is **YES** to any of these questions, the motor carrier is required to implement the items above as well as do the following:

12. *Comply with 380.503CMV Entry Level Driver Training Requirements.* \*\*\*We suggest (9746) CMV Driver Basics for Entry-Level Training Program.
13. *Implement an Alcohol & Drug Testing Program 382.* \*\*We suggest Alcohol/Drug Manual and Training (1993, 11895, 11889) and the (3268) Confidential Alcohol & Drug Packet.
14. *Ensure each driver possesses a CDL 383.23.*
15. *For Hazardous Materials Training:* \*\*\*We suggest 13482 or 13483 HazMat Training Program, and 10042 HazMat Security Awareness Training Program.

*Also note:* Use real accident roadside data that you can pull in directly from the new Safety Measurement System (SMS) to generate a safety rating and determine the likelihood of an FMCSA intervention. Know when and how to implement necessary corrective actions. We suggest J. J. Keller's online CSA Management Suite™ (23510).

**For additional product information, contact your Account Representative today toll-free:  
1-800-327-6868**